



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: James Gary Pruett et al.      Docket No.: HTI.P.8213  
Serial No: 10/621,478      Examiner: K. MOORE  
Filed: July 17, 2003      Group Art Unit: 1724  
Title: CONTINUOUS CHEMICAL VAPOR DEPOSITION PROCESS AND  
PROCESS FURNACE

**COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
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Michael Rosich  
(type or print name of person signing paper)

Michael C. Hill  
(signature of person mailing paper)

2/26/04  
(date)

**TRANSMITTAL SHEET**

Enclosed is:

Transmittal Sheet with Certificate of Mailing and Authorization to Charge Deposit Account  
Transmittal of Supplemental Information Disclosure Statement Before a First Office Action on the  
Merits and Within Three Months of the International Search Report in a Counterpart Foreign  
Application  
Form PTO/SB/08A  
Copy of International Search Report  
Return Receipt Post Card

**Authorization to Charge Deposit Account**

In the event an additional fee is applicable to the filing of this document and the required fee is not enclosed, or the fee submitted is insufficient, the Director is hereby authorized to charge any fees for Docket No. HTI.P.8213 which might be required to effect the filing of these documents to Account No. 18-0987. This certificate is being submitted in duplicate.

Respectfully submitted,

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Attorney for Applicants

2-26-2004  
Date



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Michael Rosick

(type or print name of person signing paper)

Michael C. Rosick

(signature of person mailing paper)

2/26/04

(date)

**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BEFORE A FIRST OFFICE ACTION ON THE MERITS AND WITHIN  
THREE MONTHS OF THE INTERNATIONAL SEARCH REPORT IN A  
COUNTERPART FOREIGN APPLICATION  
(37 CFR § 1.97(b)(3) and 37 CFR § 1.97(e)(1))**

Pursuant to 37 CFR § 1.97 encouraging the filing of an Information Disclosure Statement, Applicants submit this paper in compliance with their duty of disclosure as set forth in 37 CFR Section § 1.56.

Applicants wish to introduce art having some relevance to the present application, which has been listed on the attached PTO/SB/08A. This form includes six (6) U.S. patent documents. No representation is made that a specific search has been made by Applicants, that the information is material to the claimed subject matter, or that the information represents the only or the best information. The references were cited in the search report for the corresponding PCT international application dated 20 February 2004. Applicants enclose herewith a copy of the international search report for the corresponding PCT application for the Examiner's reference.

Applicants do not admit that any of the information they have provided is necessarily prior to their invention, but rather it is information of which they are aware and believe should be provided to the Office in fulfillment of the duty of disclosure. Any question that may arise regarding the priority of a specific document shall be resolved during prosecution.

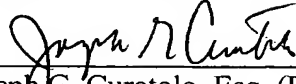
The referenced art is being cited for the express purpose of providing the Patent and Trademark Office with the opportunity to make an evaluation and to arrive at an independent assessment of materiality of each document, if any, to the examination of this application. Applicants respectfully request that the information cited be made of record in the subject case.

The Patent and Trademark Office has waived the requirement under 37 C.F.R. §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, and for all international applications that have entered the national stage under 35 U.S.C. §371 after June 30, 2003. 1273 TMOG 55 (August 5, 2003). The references cited by the International Search Report are all U.S. patent documents. As this application was filed after June 30, 2003, Applicant has not submitted copies of the U.S. patent documents that are cited on form PTO/SB/08A submitted herewith.

#### **IDENTIFICATION OF TIME OF FILING THE INFORMATION DISCLOSURE STATEMENT**

The Information Disclosure Statement is being submitted before a first office action on the merits (37 C.F.R. § 1.97(b)(3)). The Information Disclosure Statement is also being submitted within three months of the mailing of a search report in a corresponding foreign application, and each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement (37 CFR § 1.97 (e)(1)). No fee is due with the filing of this paper.

Respectfully submitted,



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2-26-2004

Date

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/621,478
Filing Date	07/17/2003
First Named Inventor	James Gary Pruett
Art Unit	1724
Examiner Name	K. MOORE
Attorney Docket Number	HTI.P.8213

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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